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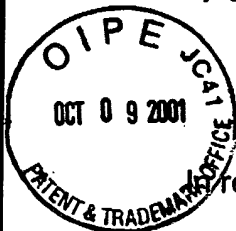
PATENT

Atty. Docket No. DX01170K

on September 28, 2001

CN 028008

By: *Lois E. Miller*  
Lois E. Miller



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of:

Daniel M. Gorman (sole)

Serial No.: 09/863,818

Filed: May 23, 2001

For: MAMMALIAN RECEPTOR PROTEINS;  
RELATED REAGENTS AND METHODS

Examiner: not yet assigned

Art Unit: 1641

RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION -  
FILING DATE GRANTED

Palo Alto, California 94304

September 28, 2001

5 BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

10 In response to the "Notice to File Missing Parts of Application - Filing Date  
Granted" mailed by the United States Patent and Trademark Office on July 17, 2001,  
enclosed are the following to be made of record in the above-identified application:

1. A Declaration and Power of Attorney signed by inventor Daniel M. Gorman in compliance with 37 CFR 1.63;
- 15 2. a copy of the "Notice to File Missing Parts of Application - Filing Date  
Granted";
3. a Sequence Submission in response to the Notice to Comply with  
Sequence Rules pursuant to 37 CFR 1.821-1.825;
4. a request for a one-month extension of time; and,
- 20 5. a Preliminary Amendment.



Please charge the total of \$840.00, as calculated below, to DNAX Research Institute Deposit account no. 04-1239:

Fee for filing Missing Parts of Application		
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	20 - 20 =	0*
INDEP CLAIMS	3 - 3 =	0*
[ ] MULTIPLE DEPENDENT CLAIM PRESENTED		
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.		

	\$130.00
RATE	FEE
	\$ 710.00
x18=	\$ 0.00
x80=	\$ 0.00
+260=	\$ 0.00
TOTAL	\$840.00

5 The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment to DNAX Research Institute Deposit Account No. 04-1239.

Please telephone the undersigned at (650) 852-9196, if there are any questions. This form is being submitted in duplicate.

Respectfully submitted,

15 Sept. 28, 2001

By: Sheela Mohan-Peterson  
Sheela Mohan-Peterson  
Attorney for Applicants  
Reg. No. 41,201

20 DNAX Research Institute  
901 California Avenue  
Palo Alto, California, 94304-1104  
Tel. No. (650) 852-9196  
Fax No.: (650) 496-1200

25 Enclosures:

- 1) Copy of this Transmittal (in duplicate)
- 2) Signed Declaration and Power of Attorney
- 3) Copy of Notice to File Missing Parts
- 4) Sequence Submission
- 30 5) Copy of Notice to Comply/Raw Sequence Listing Error Report
- 6) Preliminary Amendment
- 7) Return Postcard

Daniel M. Gorman (sole)  
USSN 09/863,818, filed May 23, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,818	05/23/2001	Daniel M. Gorman	DX01170K

028008  
DNAX RESEARCH INSTITUTE  
LEGAL DEPARTMENT  
901 CALIFORNIA AVENUE  
PALO ALTO, CA 94304



CONFIRMATION NO. 8990

## FORMALITIES LETTER



\*OC00000006307877\*

Date Mailed: 07/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
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10/16/2001 BSAYASI1 00000003 09863818

01 FC:101 740.00 CH  
02 FC:105 130.00 CH

*A copy of this notice MUST be returned with the reply.*



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PART 2 - COPY TO BE RETURNED WITH RESPONSE